

Information on data privacy for shareholders and their representatives

Brockhaus Technologies AG processes your personal data (name, address, e-mail address, number of shares, class of shares, type of ownership of shares; if applicable, name, address and e-mail address of the shareholder representative appointed by the respective shareholder) as well as the access data to the password-protected Internet service in compliance with the EU General Data Protection Regulation (GDPR), the German Federal Data Protection Act (BDSG), the German Stock Corporation Act (AktG) and all other relevant legal provisions in order to enable shareholders to exercise their rights at the Annual General Meeting.

The shares of Brockhaus Technologies AG are no-par value registered shares. Section 67 of the German Stock Corporation Act (AktG) stipulates that such registered shares must be entered in the company's share register, stating the name, date of birth, address and e-mail address of the shareholder as well as the number of shares. The shareholder is generally obliged to provide the Company with this information. The banks involved in the purchase, custody or sale of your shares regularly forward this information, as well as other information relevant to the maintenance of the share register (e.g. nationality, gender and submitting bank), to the share register. This is done via Clearstream Banking Frankfurt, which, as the central securities depository, handles the technical settlement of securities transactions and the custody of shares for credit institutions.

Brockhaus Technologies AG uses your personal data for the purposes stipulated in the German Stock Corporation Act. These are, in particular, the maintenance of the share register and the preparation, implementation and follow-up of general meetings. The processing of your personal data is absolutely necessary for your participation in the Annual General Meeting. We will only use data provided by a shareholder about a person authorized by the shareholder to exercise shareholder rights for the purpose of processing the Annual General Meeting.

For the administration and technical management of the share register as well as for the handling of the Annual General Meeting, Brockhaus Technologies AG uses external service providers who are commissioned as processors pursuant to Art. 28 DSGVO. These process your personal data exclusively on the basis of instructions from Brockhaus Technologies AG.

Brockhaus Technologies AG is the responsible entity for the processing.

The legal basis for processing is the German Stock Corporation Act in conjunction with Art. 6 (1) c) DSGVO. In addition, your personal data may also be processed for the purpose of fulfilling other legal obligations, such as regulatory requirements and stock corporation, commercial and tax law retention obligations.

For example, in the case of the authorization of proxies appointed by the Company for the Annual General Meeting, it is mandatory that the data serving as proof of authorization be recorded in a verifiable manner and stored for three years in a manner protected against access (Section 134 (3) sentence 5 AktG). In this case, the legal basis for processing is provided by the respective statutory provisions in conjunction with Art. 6 (1) c) DSGVO.

In individual cases, Brockhaus Technologies AG also processes your data if, for example, in the case of capital increases, individual shareholders must be excluded from information about subscription offers due to their nationality or place of residence in order to comply with securities regulations of the countries concerned. The legal basis for this is Art. 6 (1) c) DSGVO. Should it be intended to process your personal data for another purpose, you will be informed in advance within the framework of the legal provisions.

You have a right of access, rectification, restriction, objection and deletion regarding the processing of your personal data at any time, as well as a right to data transfer in accordance with Chapter III of the GDPR. You can assert these rights free of charge against Brockhaus Technologies AG via the following contact details:

Brockhaus Technologies AG
Thurn-und-Taxis-Platz 6
60313 Frankfurt am Main
Fax: +49 (0) 69 20 43 40 971

You can reach our company data protection officer at:

Brockhaus Technologies AG
Datenschutzbeauftragter
Thurn-und-Taxis-Platz 6
60313 Frankfurt am Main
Germany

In addition, you have the right to lodge a complaint with the data protection supervisory authorities in accordance with Art. 77 of the General Data Protection Regulation.

The data protection supervisory authority responsible for Brockhaus Technologies AG is:

Der Hessische Beauftragte für Datenschutz und Informationsfreiheit
Postfach 3163
65021 Wiesbaden
Germany